



SAFETY COMPLIANCE & TRAINING LLC

DOT AUDIT PREPARATION WORKSHOP

DOT Audit Process | CSA Scores | Assigned Safety Ratings

8:00 AM – 10:00 AM

Learn how FMCSA selects carriers for audits and compliance reviews. Understand how CSA scores, roadside inspections, crashes, and complaints can trigger enforcement action and what auditors typically focus on during an investigation.

Driver Qualification

10:00 AM – 12:00 PM

Participants will review their own Driver Qualification Files while comparing them against regulatory requirements to identify missing, expired, or incomplete documentation that could result in violations during an audit.

Lunch

12:00 PM – 1:00 PM

Vehicle Maintenance Program

1:00 PM – 2:30 PM

Participants will evaluate their own vehicle maintenance records, annual inspections, repair documentation, and maintenance files to identify recordkeeping deficiencies and improve audit readiness.

Other Recordkeeping Requirements for Audits

2:30 PM – 4:00 PM

Drug & Alcohol Testing Programs – Review your own testing program records, Clearinghouse documentation, consortium information, and required policies to identify potential compliance gaps.

Hours of Service – Evaluate supporting documents, time records, and ELD-related documentation to determine whether your records can withstand auditor scrutiny.

DOT Accident Register – Review accident register requirements and compare your existing records to FMCSA requirements.

MCS-150 & Insurance Requirements – Verify that registration, biennial updates, and insurance filings are current and properly maintained.

Compliance Gap Assessment & Open Q&A

4:00 PM – 5:00 PM

Participants will review the deficiencies identified throughout the workshop, prioritize corrective actions, and discuss questions related to their specific compliance programs. This session is designed to help attendees leave with a clearer understanding of the steps needed to improve audit readiness.



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